



### GOVERNMENT OUTCOMES LAB

### Are we Rallying Together?

March 28th, 2019



#### About the GO Lab



Joint partnership between UK Government & Oxford University

Established in 2016

Based at the Blavatnik School of Government, in Oxford Centre of academic research and practice with a mission to improve the provision of public services to tackle complex social issues, with a focus on outcome based models

#### **Support from the GO Lab**



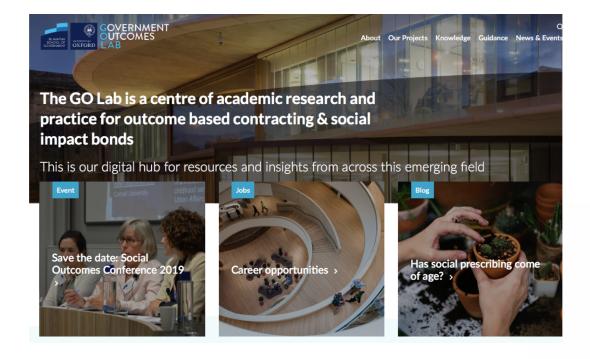
Knowledge Hub for SIBs & OBC

golab.bsg.ox.ac.uk



#### Resources available





- In-depth case studies from UK & beyond
- SIB Projects database UK
- Policy news, interviews, blogs
- Publications library
- Technical guides
- Webinars
- Advice surgeries...
- ...and much more

# Helpful resources and upcoming events





GO Lab at the Public Service: State of Transformation Conference >



Posted 18 Oct 2017, 1:15 p.m.

#### Join the discussion





@ukgolab
#RallyingTogether

**SI.do** www.slido.com/RallyingTogether

#### About you?

- Where have you travelled from?
- What sector do you work in?
- What is your number one burning question?

#### About the day



- Keynote address Donna Hall
- The findings GO Lab Team
- Break-out sessions
  - Best interests of the individual Jamie Anderson, Lynn Mumford and Gary Wallace (led by Jo Blundell)
  - Accountability without control Jane Forrest, Kathy Evans, Jacqui McKinley and Jenny North (led by Clare Fitzgerald)
- Workshop sessions: What next for developing collaborative working?
- 'It started here' making collaboration mainstream Henry Kippin, Martin Pratt and Pamela Dow (led by Nigel Ball)
- Drinks and networking









### GOVERNMENT OUTCOMES LAB

### Keynote Address

Donna Hall, New Local Government Network







### GOVERNMENT OUTCOMES LAB

### The Findings

Jo Blundell, Clare FitzGerald, Tanyah Hameed and Franziska Rosenbach (GO Lab Team)



### Why Rallying Together?

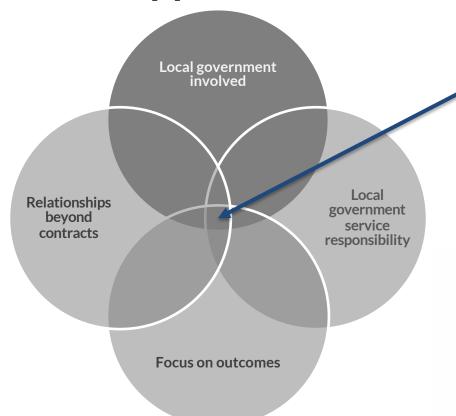


- Mood change around typical forms of contracting and performance management
- New signals from central government in the Civil Society Strategy
- Curious to understand how local areas focused on achieving better outcomes without using tools like social impact bonds or payment-by-results



Outline for today's presentation	Research questions
Research approach	
Why collaborate?	Why do organisations choose to collaborate?
What do collaborations look like?	How do collaborations structure relationships?
How is collaborative working ingrained?	What enables collaboration?
Demonstrating success and ensuring accountability	How do collaborations define success and hold each other to account?
Where to next?	

### Research approach





#### Highlights:

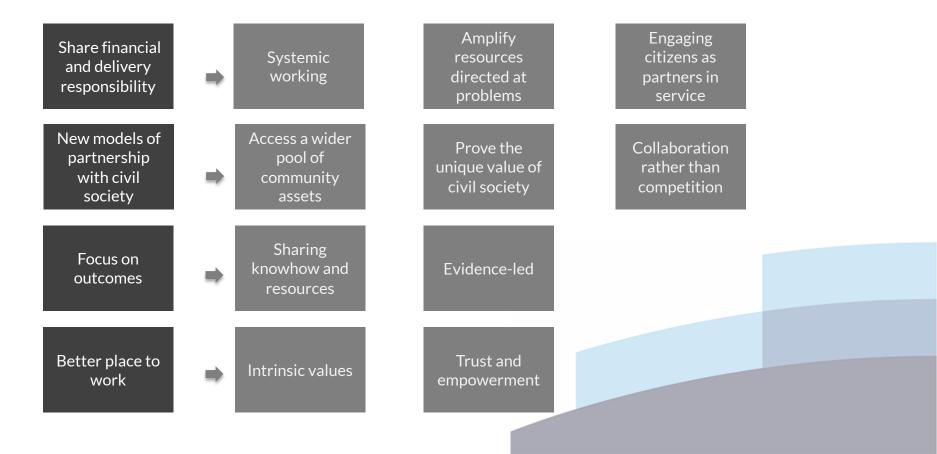
- 10 locally-led projects
- Est. 2013-2019 (1840!)
- No 'one-size-fits-all'
- Scope of collaboration varied
- All 'works in progress'

#### Research approach:

- 10 Learning calls
- 3 collaborations selected for additional interviews

Name	Convener	Region	Focus
Doing the Right Thing	Somerset Richmond Group	South West	Health and care
Golden Key	Golden Key	South West	Complex needs
Ignite	Ignite Coventry	West Midlands	Children's services and homelessness
Kibble Home Paisley	Kibble Education & Care Centre	Scotland	Children's services
The Oldham Plan 2017-2022			Inclusive Economy; Cooperative services; Thriving communities
Plymouth Alliance Contract	Plymouth Council	South West	Complex needs
West London Zone	West London Zone	London	Children's services
The Wigan Deal Wigan Council		North West	Economic Grown; Public sector reform
Wirral Council Plan: A 2020 Vision			Public service transformation
Young People's John Lyon's Charity Foundations		London	Youth services

### Why do organisations collaborate?



#### What do collaborations look like?



Collaborative
Councils
Oldham, Wigan, Wirral

**Markets**Plymouth Alliance
Contract, Young People's
Foundation

Collaborative

**Agents of Change** *Ignite, Golden Key* 

System Connectors
West London Zone, Doing
the Right Thing

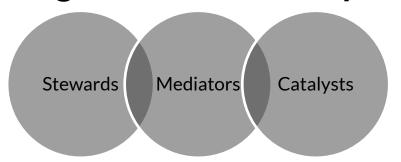
# How is collaborative working ingrained?



Leadership Culture Infrastructur e Community

# How is collaborative working ingrained? *Leadership*





**Stewards** help convene collaboration and maintain its integrity.

**Mediators** manage conflict and arbitrate exchange between stakeholders.

Catalysts help identify and realize value-creating opportunities.

#### Described in interviews as...

Brave and visionary	Belief in the the project and able to convene local leaders and residents under a shared vision and plan – the "northstar"		
Willing to take risk	Give space for people to take risks working differently. Carries significant personal responsibility. Open about mistakes.		
Ceding sovereignty	Willing to share/cede leadership (but retain accountability) to organisations and the community to improve outcomes.		
Space for innovation	Create space for innovation and trust people to try new things. Create room to listen and encourage intellectual playfulness.		
Tough	Willing to take tough decisions to create an aligned leadership team.		

### How is collaborative working ingrained? *Culture*



Forging a new identity

Sense of being part of something new and aspirational. "taking the badge off"

Avoid new bureaucracy

Trust people to interpret the shared intent. But look after the "marzipan" layer.

Empowerment & accountability

Permission to think. Failure without blame, but individuals hold more personal accountability. Celebrate success generously.

Collective and individual interest

"start on working on the outcomes through the system, rather than just through your organisation"

### How is collaborative working ingrained? *Culture*



#### Be Positive I do.... I don't... ...take pride Give my best every day and display a passion for what I do. · Speak about the Borough in a negative way. Believe in the Borough and be an ambassador for the Council. in all that Lack energy and drive in helping the organisation move forward. Support my colleagues and value everyone's contribution. you do Forget the customer in anything I do. Act in an open, honest and friendly manner. · Encourage or join in with pessimism. Focus my effort on bringing benefit to our customers. Limit my opportunities to learn and grow. Take responsibility for my own engagement and development. · Respond badly to feedback and criticism. Recognise a job well done and celebrate other people's · Give up after a problem or set back. achievements. Be Accountable I do... I don't... ...be Take ownership for what I do and deliver an outstanding service. · Over promise and under deliver. \* My best for our customers and never promise what I cannot deliver. responsible · Blame others, and overlook solutions. Work with colleagues across the council to achieve the best Take undeserved credit. for making outcomes in a seamless way. · Underestimate how important clear and regular communication is. things better Always ensure that public money is spent in the smartest way · Let a bad day impact on my performance. . Ignore the impact that my behaviour has on others. Ask for help and support from others when I need it. . Forget to take the time to say thank you to others for their Manage my reactions and think about how my behaviours affect contribution. Make the effort to find out information for myself. **Be Courageous** I don't... I do... ...be open Have an open mind and I am prepared to have a different Carry on doing what I always do without challenging existing to doing norms where appropriate. Start from a blank page and look for possibilities and better ways things · Automatically reject radical ideas. of doing things. Think all existing procedures are already the best. differently Look for ways to get to know our communities better and respect Ignore new ways of working. local knowledge. Forget to take the time to listen to the opinion of others. · Embrace new technologies. Exclude the contribution of colleagues and partners. · Work with others to find the best solutions. Make assumptions about people. Value alternative views. · Embrace positive, shared risk in my role.

### How is collaborative working ingrained? *Infrastructure*



Shared insight function

Single version of the truth.

Reframe understanding of needs

Gather new information on needs in a different way and from different sources

Understand each other

Develop a better understanding of and compassion for colleagues' challenges created stronger relationships between front-line staff teams.

Create shared infrastructure

e.g. colocation, shared pathways, data

### How is collaborative working ingrained? *Community*



Build community capacity

Build new initiatives and strengthen and create local organisations.

Empowering citizens to self-help and self-organise

Transfer budget and power to communities. Create space to convene and organise.

Asset based working

. The state can't "work everything out for somebody".

Reciprocity of obligations

"Our part, your part". A different "contract" between the state and citizens.

Positive enquiry and human connection.

Enable teams to have "different conversations" with service users and empower them to deliver what people really need.

### How is collaborative working ingrained? *Community*



### Imposition or empowerment: Asset transfers

"people might be happy to perhaps come volunteer in the library a couple of times a week, but run it? That's a hell of a commitment. So, I think there is a potential dissonance which we really need to understand between the capacity and the expectations [we place on citizens]."

"...we have got services that are under huge pressures, [and we're] making lots of budget reductions et cetera and asset transfers could be used to get an asset off the books...then there is a sort of concern about what happens if a [community] group can't manage it? What happens, who is responsible for it? What is the risk?"

### Demonstrating success and ensuring accountability Common challenges





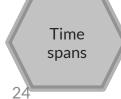
- Multiple lines of accountability
- Collective versus individual responsibility
- Commercial sensitivity of disclosing learning

Complex social problems

- Hard to quantify
- Attribution of outcomes to interventions or organisations is tricky
- Potential for gaming

Cultural norms

 Different approach to accountability across sectors



 Impact takes an unpredictable amount of time in complex environments

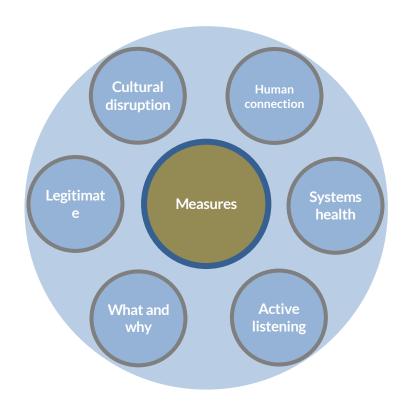
### Demonstrating success and ensuring accountability Types of feedback

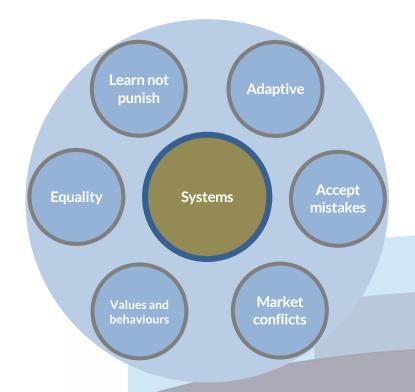


Focus	Description	Case Examples	Attributes	
Results	Accountability for collaboratively defined targets	Wirral	Results and priorities set broadly, and actions and priorities reviewed regularly in response to short-term feedback on progress.	
System-health	Accountability for a well- functioning service system	Golden Key; Plymouth Alliance	Indicators like staff turnover, absences and sickness used to improve work environment.	
Outcomes	Accountability for client outcomes	West London Zone	Well-defined theory of change used to track outcomes and assess effectiveness.	
Narratives	Sense-making tool for understanding how and why things have/have not worked	Wigan; Oldham	Stories were used to humanise impact, motivate workforce and stimulate learning.	
Community reporting	Accountability for delivering on collaboration and community promises	Wigan; Oldham; Wirral	Ongoing reporting on how promises have been upheld.	

### Demonstrating success and ensuring accountability Attributes of systems







### Demonstrating success and ensuring accountability *Accountability in collaboration*



#### Less democratic

- Government cedes control
- Lack of straightforward mechanism by which policy makers are held to account by electorate

#### **Greater legitimacy**

- Provides more checks and balances than a centralised system
- More opportunities for citizen voices to be heard

### Where to next? More questions for research...and discussion today!



- What regulatory and statutory constraints prevent collaborative approaches?
- Does collaboration deliver better value and impact?
- How do we embed collaborative practice that is resilient and effective in navigating setbacks and disagreements?
- How can governance enable autonomy without reverting to hierarchy?
- What does a good system of feedback and learning look like?
   One that accepts complexity whilst delivering transparency and accountability.
- What is the right way to engage citizens in public services?

### Final thoughts...

BLAVATNIK SCHOOL OF GOVERNMENT OXFORD CAPE OXFORD LAB

- Massive thanks to:
  - Collaborations and interviewees
  - Feedback and peer-review
  - Wider GO Lab team

Questions? Comments?

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- in linkedin.com/in/go-lab-395513140/





### **Break-out panel sessions**

- Accountability without control. Engine Hall, Clare FitzGerald
- Best interests of the individual. Coal Store, Jo Blundell







### Welcome Back







# Building a movement for collaboration

Dawn Plimmer, Collaborate CIC and Toby Lowe, Northumbria University







Newcastle Business School

## **Exploring the New World:** Human, Learning, Systems

Toby Lowe Newcastle Business School @tobyjlowe

Dawn Plimmer Collaborate @DawnMPlimmer

#HumanLearningSystems





#### **Summary**

- Complexity and collaboration
- What do complex environments require of us?



#### Human (\*\*)



### Learning (\*\*)



- How change to a HLS approach happens
- **Building a Movement**



#### Complexity

We know we are in **complex** territory when:

- there are a variety of strengths and needs, and these look different from different perspectives
- when outcomes are being produced by many factors interacting together in an ever changing way
- when people are working in systems that are beyond the control of any one of the actors in the system





#### What does complexity require of us?

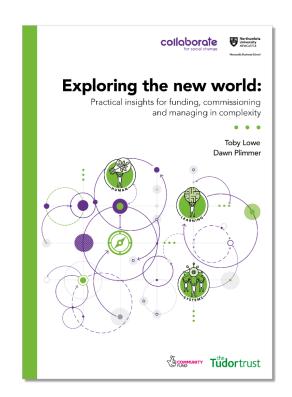
- The capacity to respond to variety each person's strengths and needs are different
- The ability to adapt to change the context in which social interventions are undertaken constantly changes
- The ability to shape systems whose behaviour can't be reliably predicted, and which no one controls.

complexity requires a

bespoke, adaptive, collaborative response









#### **Key ideas**

Funding, Commissioning and Managing in complexity involves:



Being Human to one another: put on your VEST



**Learning** and adaptation: improvement requires continuous learning



**Systems**: Nurture healthy systems to create positive outcomes – be a System Steward





#### Human

#### To be Human, put on your VEST:

- Respond to Variety of human need and experience
- Use Empathy to understand the life of others
- View people from a Strengths-based perspective
- Trust people with decision-making

#### For Commissioners means:

- Long term funding
- Funding without Performance Measures/KPIs
- Fund those organisations you trust to build effective human relationships with people – e.g. Plymouth – £80m, 10 year Alliance contract







#### = Public service is Bespoke by Default

Each human being is recognised as having their own strengths and needs.

The job of social interventions is to:

- Hear and understand those strengths and needs through forming relationships with people
- Respond appropriately to those strengths and needs

"liberating" workers from attempts to proceduralise what happens in good human relationships, and instead focus on the capabilities and contexts which help enable these relationships"



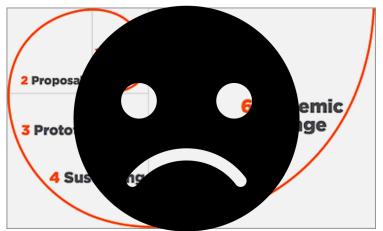




#### Learning



- Learn & experiment.
- Find "what works"
- Do more of that



Young Foundation, Stages of Social Innovation







#### Learning



# In a complex environment, **learning is a continuous process**

There is no such thing as "what works" – because "what works" is always changing.

"What works" is a continuous process of learning and adaption.

= funders and commissioners are "purchasing" the capacity for organisations to **learn and adapt**.







Who looks after the health of a system?

## **Role of System Stewards**





#### **Systems**

#### **System Stewards**

What does a healthy system look like?



#### **Perspective**

- People view themselves as part of an interconnected whole
- People are viewed as resourceful and bringing strengths
- People share a vision

#### Power

- Power is shared, and equality of voice actively promoted
- Decision-making is devolved
- Accountability is mutual

#### **Participation**

- Open, trusting relationships enable effective dialogue
- Leadership is collaborative and promoted at every level
- Feedback and collective learning drive adaptation





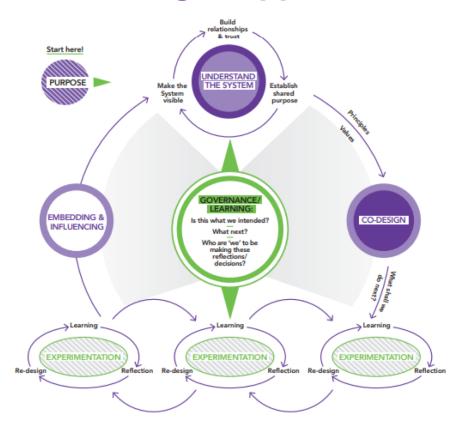


# What needs to happen to better equip people to work in collaborative ways?

- These System Behaviours enable all relevant players in a system to collaborate effectively.
- Whose job is it to develop these behaviours?
- Who is acting as a System Steward?



### How change happens





"Have I got the bravery to call things out, the energy and capacity to carry on and carry the system through to a new set of relationships?"

**Public service commissioner** 





#### **Culture change**

"I realised our organisation was not fit for purpose for the new world we're moving into which is more about co-production, collaboration and alliance. So we stopped for a year and went back to basics to rethink our purpose to become a far more flexible and responsive organisation"

"Culture had to shift so hugely when we passed power back to people – language, structure, environment, structure, process, and most importantly people... We re-interviewed all staff and lost 50% of the workforce – they didn't want to or didn't have the right behaviours and mindset."





#### **Governance and accountability**

"The Care Inspectorate has sought to support the pioneering work that Cornerstone are advancing, by promoting an enabling regulatory environment that supports innovation and improvement and allows new ways of working to be explored and new ideas and approaches to be tested. Our approach seeks to support Cornerstone to achieve their aim of delivering better outcomes and improving the wellbeing of the people they work with."

Regulator



#### Building a movement: How do we make HLS the norm?

"Systemic change will genuinely start to happen when we create the right environment that brings together and embraces the frustrated."

Get involved! Launch events 21 May (Newcastle) and 22 May (London)





#### Thanks for listening

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# **Workshop Sessions**

What next for developing collaborative working?



## Workshop sessions



- 1) What needs to happen to better equip people to work in collaborative ways?
- 2) What are the main challenges for organisations to enable collaborative working?





# GOVERNMENT OUTCOMES LAB

# 'It started here' - making collaboration mainstream

Henry Kippin, West Midlands Combined Authority; Martin Pratt, Camden Council; and Pamela Dow, Catch22



#### Making collaboration mainstream



- Overcoming regulatory restrictions
- The "collaborative counterfactual"
- Ensuring resilient relationships
- Strong governance without hierarchy
- Feedback for learning and accountability
- Engagement not exploitation of citizens

## We would like to hear from you



**Sl.do** www.slido.com/RallyingTogether

Please take a few minutes to provide your feedback.

#### Get in touch







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Sign up to our monthly <u>newsletter</u> & weekly <u>policy briefing!</u>

# Thank you for coming



